

# School Inspection and Self-Evaluation

Around the world school inspection is subject to critical scrutiny. It is too cumbersome? Too expensive? Too disruptive to the normal flow of school life? Does it actually improve schools? And, what does the new relationship between inspection and self-evaluation mean for schools?

*School Inspection and Self-Evaluation: Working with the New Relationship* addresses these issues, and unpicks the legacy of an Ofsted regime widely criticised as invasive and disempowering to teachers. In this book, John MacBeath:

- examines in turn each aspect of the ‘New Relationship’, its potential strengths and some of its inherent weaknesses;
- debates issues that confront school leaders and classroom teachers, including Every Child Matters;
- offers advice on how schools can marry ongoing self-evaluation with Ofsted’s expectations;
- describes how to deal with PLACS, PANDAS’ and other beastly inventions’;
- shows how to use web sources to best advantage;
- explains how to reconcile the tensions between accountability and improvement;
- provides a guide to a repertoire of tried-and-tested approaches to help teachers embed self-evaluation in day-to-day classroom practice.

The book also contains case studies from schools that have adopted innovative approaches to self-evaluation.

While of immediate practical interest for school leaders, managers and teachers in England, the book also speaks to an international audience, as the issues raised here have resonance in every country where quality assurance and standards are at the forefront of policy and practice.

**John MacBeath** is Professor of Educational Leadership at the University of Cambridge, UK.



# School Inspection and Self-Evaluation

Working with the new relationship

John MacBeath

First published 2006  
by Routledge  
2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

Simultaneously published in the USA and Canada  
by Routledge  
270 Madison Ave, New York, NY 10016

*Routledge is an imprint of the Taylor & Francis Group,  
an informa business*

© 2006 John MacBeath

This edition published in the Taylor & Francis e-Library, 2006.

“To purchase your own copy of this or any of Taylor & Francis or Routledge’s collection of thousands of eBooks please go to [www.eBookstore.tandf.co.uk](http://www.eBookstore.tandf.co.uk).”

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

*British Library Cataloguing in Publication Data*

A catalogue record for this book is available  
from the British Library

*Library of Congress Cataloging in Publication Data*

A catalog record for this book has been requested

ISBN10: 0-415-39970-X (hbk)

ISBN10: 0-415-39971-8 (pbk)

ISBN10: 0-203-96710-0 (ebk)

ISBN13: 978-0-415-39970-8 (hbk)

ISBN13: 978-0-415-39971-5 (pbk)

ISBN13: 978-0-203-96710-2 (ebk)

# Contents

<i>List of figures</i>	vii
<i>List of tables</i>	ix
<i>Acknowledgements</i>	xi
1 New relationships for old	1
2 A view from the schools	17
3 A view from the Bell tower	29
4 Inspection and self-evaluation: a brief history	38
5 Lies, damned lies and statistics	47
6 Self-evaluation, review, audit, self-assessment and self-inspection	56
7 Hearing voices	70
8 Learning in and out of school	80
9 PLASCS, PATS, electronic PANDAS and other beastly inventions	91
10 Every Child Matters?	100
11 The SEF and how to use it	109
12 Who needs a School Improvement Partner?: critical friend or Trojan collaborator	120

vi *Contents*

13	Googling around: the connoisseur's guide	133
14	The tools of self-evaluation	143
15	The leadership equation	162
16	What can we learn from other countries?	173
	<i>Notes</i>	184
	<i>Index</i>	195

# Figures

1.1	The seven elements of the new relationship	3
2.1	Teachers' preferred audience for school self-evaluation	20
6.1	Self-inspection and self-evaluation	57
6.2	Blood pressure: reading over time	66
6.3	The weddingcake: pupil, professional and system learning	67
6.4	Sources of pressure and support	68
9.1	Key stage scores and the value added line	96
10.1	Carole, her family and social/educational agencies	103
10.2	Inspecting for improvement (2003)	105
10.3	Probing the evidence base: a starter for discussion	106
11.1	The Leicestershire plan	112
11.2	Do's and don'ts of SEF evidence	118
11.3	The relationship of the parts to the whole	118
12.1	A suggested calendar for the work of the SIP	124
12.2	Guidance on inputs, focus and outputs	126
12.3	The 'do's of critical friendship	129
12.4	The 'don'ts of critical friendship	130
12.5	Roles behaviours, qualities and skills of the critical friend	131
13.1	Checklist for evaluating current state of health and well-being	135
13.2	The BECTA matrix, learning and skills	138
14.1	Circle of influence	144
14.2	A rhythm of learning	147
14.3	Observing and being observed	150
14.4	A 7-year-old's eye view of school life	153
14.5	Assessing social capital	154
15.1	The paradoxes of leadership	163
15.2	Adapting to the flow of change	165



# Tables

1.1	Some features of Ofsted's new approach to inspection	7
2.1	Favouring and constraining factors for SSE	25
6.1	The seven elements of self-evaluation	63
9.1	Data table for primary school (Key Stage 2)	94
9.2	Five exemplary pupils	95
9.3	The revised points system	96
11.1	The SEF summary	116
11.2	The views of stakeholders	117
14.1	Evaluating the learning culture	151
14.2	Construction sites	155
14.3	The toolbox	156



# Acknowledgements

I would like to thank Janet Gibson for putting up with the constant revisions and search for missing page numbers and references, to my colleague, Sue Swaffield, for the eye of the critical friend, to HMCI David Bell for admitting me to inner sanctum of Ofsted where I was much less welcome in the past and to Anna Clarkson and Kerry Maciak of RoutledgeFalmer for their support and guidance.



# 1 New relationships for old

‘She who has a hammer sees only a world of nails.’

*This opening chapter sets the scene for the New Relationship with Schools, (NRwS), examining the perceived need for a new relationship in light of what had gone before. Each of the seven elements of the NRwS jigsaw are examined in turn, arguing that schools need to view these with a critical and enlightened eye.*

There is a new relationship between government and schools. It is an implicit recognition that the old relationship had been damaged by a decade of tensions and antagonism between agencies of government and schools. The legacy of the Thatcher regime, which cast teachers and ‘progressive educators’ as the enemy within, was little attenuated under a Labour government which did not want to be seen as soft on teachers. The retention of Ofsted and its Chief Inspector were a signal to teachers, but primarily to a wider public, that this administration too could be tough. After nearly a decade in power it became increasingly apparent that the old relationship was no longer sustainable and that it was time for a new approach.

The concept of a new relationship was first spelled out by the Government Minister, David Miliband in a high-profile policy speech on 8 January 2004.

There are three key aspects to a new relationship with schools. An accountability framework, which puts a premium on ensuring effective and ongoing self-evaluation in every school combined with more focussed external inspection, linked closely to the improvement cycle of the school. A simplified school improvement process, where every school uses robust self evaluation to drive improvement, informed by a single annual conversation with a school improvement partner to debate and advise on targets, priorities and support. And improved information and data management between schools, government bodies and parents with information ‘collected once, used many times’.

The New Relationship, elaborated in subsequent documents, promised to allow schools greater freedom, to free them to define clearer priorities for

## 2 *New relationships for old*

themselves, get rid of bureaucratic clutter and build better links with parents. Advances in technology promised improved data collection and streamlined communication. A School Improvement Partner, described as a ‘critical friend’ would liaise with schools and support them in achieving greater autonomy, releasing local initiative and energy. The seven elements of the new relationship were portrayed as an interlocking set, framed by trust, support, networking and challenge (Figure 1.1).

It is not hard to imagine hours spent in offices of government, redrafting and refining images and terminology to achieve the right register and to convey a genuine conviction that things could be different. While it is important to welcome the apparent goodwill and the government’s desire to build bridges, it is important to understand the political and economic context in which that relationship is set. On the economic front its main driver is the imperative to reduce public spending. Drastic reduction in the Ofsted budget, spelt out in the Gershon Report<sup>1</sup> specified the need for ‘light touch inspection’, as much a concomitant of reduced funding as an argument for ‘grown up’ quality assurance. The political driver, closely allied to economic policy and New Labour’s embrace of the internal market<sup>2</sup>, required funding to be pushed down to front line services, accompanied by consumer choice and institutional accountability.

The good ideas inherent in the New Relationship, symbolised in the interlocking pieces in the jig saw need therefore to be examined with a critical and enlightened eye.

### **Elements of the New Relationship with schools**

#### *Self-evaluation*

Prior to the election of New Labour in 1997 the Conservative government and its Chief Inspector of Schools rejected self-evaluation as a soft option which, it was claimed, had done nothing in its previous incarnations to raise standards. The 1997 election of a Labour government was a watershed for self-evaluation as, over the following years, it moved gradually but progressively towards centre stage. With the coming of a new Chief Inspector, David Bell, it was given a new status at the very heart of the new relationship. The key difference in this reborn self-evaluation was its liberation from an Ofsted pre-determined template, schools now being encouraged to use their own approaches to self-evaluation with the self-evaluation form (the SEF) serving simply as an internal summary and basis for external inspection. That at least, is the theory.

*In theory, there is no difference between theory and practice. In practice, there is.*

In theory self-evaluation allows schools to speak for themselves, to determine what is important, what should be measured and how their story should be told. In theory self-evaluation is ongoing, embedded in the day-to-day work of classroom and school, formative in character, honest in its assessment of strengths and weakness, rigorous in its concern for evidence. The New

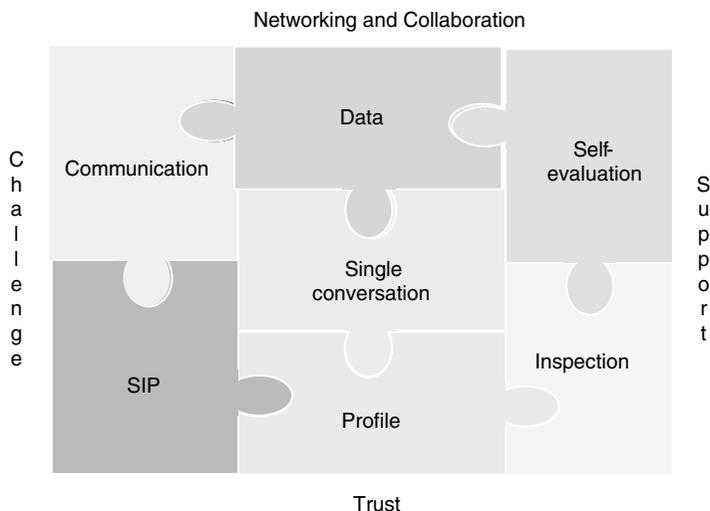


Figure 1.1 The seven elements of the new relationship.

Relationship explicitly accepts these tenets and advises schools to adopt and adapt their own approach.

In practice it is a different story. A recent study for the National College of School Leadership (NCSL)<sup>3</sup> asked schools to describe the framework or model currently used in their school. The predominant response was simply 'Ofsted', 'the SEF' or its predecessor the S4. Asked for reasons for their choice the following was fairly typical. 'We use Ofsted because we will be inspected and need to be prepared for that.'

While it was equally common for schools to say they used a combination of local authority guidelines and the Ofsted framework, the NCSL survey revealed that these are now closely matched to Ofsted protocols. Previous research by NFER<sup>4</sup> in 2001 surveying 16 schools in 9 LEAs reported that 10 were using a local authority model, 4 were using the Ofsted framework while others used a 'pick and mix' approach, in one case Ofsted, plus Investors in People plus *Schools Must Speak for Themselves*. Since then the convergence between local authority models and Ofsted has grown stronger and the earlier more creative models tend to have been marginalised.

However strong the disclaimer by HMCI that the Ofsted SEF is *not* self-evaluation it is clear that self-evaluation is seen by the large majority of schools as a top-down form of review closely aligned with the criteria and forms of reporting defined by the inspectorate. Faced with an array of consultant leaders, LA advisers, school improvement partners and governing bodies all urging conformity to the SEF, it is only a brave, and perhaps reckless, headteacher who would not play safe. The availability of on-line

#### 4 *New relationships for old*

completion of the SEF is a further impetus to see self-evaluation as forms to be filled and an event to be undertaken rather than a continuing process of reflection and renewal.

##### *Inspection*

The new inspection process takes the SEF as its starting point, so allowing a shorter and sharper process, given that schools have laid the groundwork and provided the Ofsted team with a comprehensive, rounded and succinct picture of their quality and effectiveness, strengths and weaknesses, allegedly warts and all. The main features of the new inspections are described in NRwS in the following terms:

- shorter, sharper inspections that take no more than two days in a school and concentrate on closer interaction with senior managers in the school, taking self-evaluation evidence as the starting point;
- shorter notice of inspections to avoid schools carrying out unnecessary pre-inspection preparation and to reduce the levels of stress often associated with an inspection. Shorter notice should help inspectors to see schools as they really are;
- smaller inspection teams with a greater number of inspections led by one of HMI. Her Majesty's Chief Inspector will publish and be responsible for all reports;
- more frequent inspections, with the maximum period between inspections reduced from six years to three years, though occurring more frequently for schools causing concern;
- more emphasis placed on the school's own self-evaluation evidence as the starting point for inspection and for schools' internal planning, and as the route to securing regular input and feedback from users – pupils, their parents and the community – in the school's development. Schools are strongly encouraged to update their self-evaluation form on an annual basis;
- a common set of characteristics to inspection in schools and colleges of education from early childhood to the age of 19;
- a simplification of the categorisation of schools causing concern, retaining the current approach to schools that need special measures but removing the categorisations of 'serious weakness' and 'inadequate sixth form', replacing them with a new single category of 'Improvement Notice'.

'Shorter', 'sharper', 'smaller' are key downsizing elements of the new inspection. 'Shorter' applies to less notice so that schools may be seen 'as they really are', while a short stay in the school is premised on the school having 'hard' evidence of its practice, not preparing for inspection but always prepared. While it may easily be assumed from this that the purpose of the new inspection is to validate the school's own self-evaluation, Ofsted is quick

to disabuse people of that notion. While self-evaluation is described as an integral element of the process, inspectors will continue to arrive at their own overall assessment of the effectiveness and efficiency of the school. They reserve their judgement on the capacity of the school to make improvements, *taking into account* its ability to assess accurately the quality of its own provision. 'Taking into account' is an important caveat as it signals clearly the nature of the relationship between the external and the internal team. There is no pretence that this is an equal partnership.

## **Every Child Matters**

A key constituent of the new relationship takes account of the five outcomes for children and young people defined in the policy document *Every Child Matters*.<sup>5</sup> These are:

- staying healthy
- enjoying and achieving
- keeping safe
- contributing to the community
- social and economic well-being.

In judging leadership and management and the overall effectiveness of a school, inspectors examine the contribution made to all five outcomes. Claims made for validity and objectivity have, however, to be open to question given the breadth and ambition of the issues addressed. The highly subjective and sensitive nature of enjoyment, personal growth, parent and community links and equality belie any bold claims to objectivity and quantifiable 'outcomes'. While now deeply internalised in the linguistic canon of school improvement, outcomes in relation to these five areas of growth seems singularly inappropriate.

Undaunted by complexity and subtlety inspectors are required to quantify their judgements on the following four-point scale, while schools are enjoined to do likewise.

- Grade 1 Outstanding
- Grade 2 Good
- Grade 3 Satisfactory
- Grade 4 Inadequate

These rest on very broad and, to a large degree, impressionistic judgements. They are necessarily selective as to evidence that can be found and can be measured. It is open to question whether these labels enhance or diminish the nature of the judgements made. While their virtue is simplicity, their weakness is the gloss which undermines the nuance and complexity of what is being evaluated. As with summative assessment of pupils' work which

is more likely to inhibit than motivate,<sup>6</sup> these categorical judgements do not of themselves provide the formative criteria which might qualify as evaluation for learning. While much thought and agonising within Ofsted has gone into these four descriptors they remain contentious, in particular the ‘satisfactory’ category which may be read either as a half full, or virtually empty glass.

### **A rush to judgement?**

Inspection is judgement not description. In the New Relationship it is judgement rendered within the parameters of a two-day visit, and while there is a strong case to be made for a shorter more focused visit (see David Bell’s rationale in Chapter 3) NRwS has in fact widened the scope of inspection to include *Every Child Matters*, so while not relinquishing its traditional commitment to rating the quality of school provision, as well as the robustness of its self-evaluation, inspectors are required also to make summative judgements including the five broad and often intangible ECM outcomes (Table 1.1).

These are the foci of inspection in the new relationship.

*Overall effectiveness*, including training, integrated care and extended services.  
*Achievement and standards*, targets, qualifications, and progress relative to prior attainment and potential, workplace skills and positive contribution to the community.

*Quality of provision*, rigour of assessment, planning and monitoring learners’ progress, provision for, additional learning needs and involvement of parents and carers.

*Programmes and activities*, matched to learners’ aspirations and potential, responsiveness to local circumstances and contribution of extended services to learners’ enjoyment and achievement.

*Guidance and support*, safeguarding welfare, promoting personal development, guidance on courses and career progression and provision which contributes to pupils’ capacity to stay safe and healthy.

*Leadership and management*, performance monitoring, high-quality care, equality of opportunity and tackling of discrimination, links with other services, employers and other organisations and governors discharge of their responsibilities.

### **Provision causing concern**

Inspectors must consider whether provision is failing to give learners an acceptable standard of education, in which case they must state this clearly in the report. There are two categories of schools causing concern:

- Schools which require special measures because they are failing to provide an acceptable standard of education and show insufficient capacity to improve.

Table 1.1 Some features of Ofsted's new approach to inspection

<i>Previous inspection</i>	<i>NRwS inspection</i>
6–10 weeks' notice before an inspection	Shortening the notice of an impending Ofsted visit. 2–5 days notice prior to inspection
Large inspection teams visiting for around a week	Small teams visiting for not more than 2 days
A maximum of 6-year interval between inspections	A maximum 3-year interval
Inspections cover: standards and quality of education; leadership/management; and spiritual, moral, social and cultural development	Inspection to cover standards and quality of education, leadership/-management; and spiritual, moral, social and cultural development
Self-evaluation not structured across all schools nor is it part of the inspection process	Self-evaluation as for all schools, the starting point of Ofsted inspection
Collection of a wealth of information – extensive use of lesson observation	Focus on core systems and key outcomes, informed by lesson observation and other indicators of pupils' progress
Detailed and lengthy (30 pages+) inspection reports produced.	Short, sharp reports (around 6 pages) focused on key outcomes with clearer recommendations for improvement
Reports produced within 40 days of the inspection event	Reports to be with the governing body, at least in draft, by the end of the week of the inspection
Schools required to prepare a separate post-inspection action plan	Schools feed their intended actions into the school development plan
Various categories of schools causing concern – special measures, serious weaknesses, underachieving and inadequate sixth forms	Rationalised system with two categories – special measures and improvement notice
Inspection usually conducted by registered inspectors	HMI leading many inspections and involved in all inspections

Source: Ofsted (2004) 'A new relationship with schools'.

- Schools which require significant improvement in one or more areas of activity, which should be served with an Improvement Notice.

## A code of conduct

Inspectors work to a code of conduct<sup>7</sup> which stipulates that they uphold the highest professional standards in their work and ensure that school staff are treated fairly and that they benefit from inspection. They are required to evaluate objectively and have no connection with the school which could undermine their objectivity. They should report honestly, ensuring that judgements are fair and reliable, treating all those they meet with courtesy and sensitivity; minimising stress and acting in the best interests of those they

## 8 *New relationships for old*

inspect, engaging them in purposeful and productive dialogue. They should communicate judgements clearly and frankly, respecting the confidentiality of information and about individuals and their work. To this are added four demanding criteria:

- that the findings of the inspection are valid
- that findings of inspection contribute to improvement
- that the process of inspection promotes inclusion
- that inspection is carried out openly with those being inspected.

These are demanding principles and may, with shorter sharper inspections, be difficult to realise. It is, however, crucial for a school to be familiar with these principles as they offer a set of criteria which can be used by the school to evaluate inspectors and the process of inspection. The reciprocity of accountability in inspection's new clothes needs to be put to the test. Cast as friendly, collaborative and founded on a relationship of trust, schools, it is said, should feel safe enough to honestly disclose their weaknesses while inspectors listen sensitively to the school's own account. It is an ideal and idealistic scenario which appeals to the very best of collaborative quality assurance systems but nonetheless raises a number of prickly questions:

- How feasible is it for inspectors to render accurate and valid judgements across such a wide range of objectives?
- In what sense is the accountability agenda different under the NRwS?
- On what basis would schools be happy to be honest with Ofsted about their most serious weaknesses?
- To what degree is there a genuinely reciprocal relationship between a school staff and an inspection team?
- What is the nature of 'productive' dialogue?
- What does it mean for an inspection team to claim objectivity?
- What test may be applied to conform or contest inspectors' judgements as 'valid'?
- Is inspection under the new relationship any less 'high stakes' in its consequences than before?

### *The school improvement partner*

For each of the schools that it maintains, the local authority appoints a school improvement partner from a pool of the people with current DfES (Department for Education and Skills) accreditation. The local authority is expected to consult with the school and to take account of objections for not accepting a particular individual but the final choice rests with the authority. The School Improvement Partner, in most cases should be someone with current or recent headship experience, is accountable to the authority which

carries responsibility for his or her performance, carrying out functions previously performed by the External Adviser.

The SIP, is the ‘conduit’ between central government, the local authority and the school. It is a telling descriptor. A conduit suggests a flow in a given direction, and to a degree this is true of the SIP’s relationship with the school. The direction of communication flow is from the government to the LEA to the school improvement partner and thence to the school, instrumental in the service of mandated target setting and establishing priorities in line with government policies.

As a school’s governing body is responsible for the strategic direction of the school, the SIP also offers them ‘advice’ on the overall direction of the school as well as on the headteacher’s conduct of performance management. In their monitoring role SIPs are also required to advise the local authority if they believe a school is causing concern. The authority may then use its statutory powers to intervene, and may want the SIP to take the lead in instigating action. So the SIP, described in the documentation<sup>8</sup> as a ‘critical friend’, may also make a ‘friendly’ intervention to move the school towards special measures. His or her accountability is to the local authority, which in turn accounts to government through the DfES’s National Strategies contractor who, in partnership with NCSL, is responsible for the assessment, training and accreditation of SIPs. The renewal or ending of the SIP’s contract is down to the National Strategies Contractor who also holds the local authority accountable for the performance management of SIPs in their bailiwick.

The SIP also has a relationship with Ofsted inspectors. It is spelt out<sup>9</sup> as follows:

- They may be inspectors of schools but must not inspect in schools where they have a connection or where they are SIPs.
- Their reports on schools are made available to inspection teams.
- They must not seek to secure information about a forthcoming inspection nor divulge it to schools if they become aware of it.

The SIP clearly has a complex relationship with the school, with the local authority, with Ofsted and with the DfES. It not only demands of SIPs that they tread a very fine line among their various accountabilities but their remit also casts a shadow on their relationship with their adoptive headteacher, raising some essential questions about that relationship.

- Where does the power lie within and outside the head–SIP relationship?
- What, in these circumstances, does it mean for the SIP to be a ‘critical friend’?
- What is the nature of the SIP’s accountability to the school?
- How should the success of the SIP’s performance be judged? By the school? By the local authority? By government agencies?

## 10 *New relationships for old*

- On what basis would a SIP report the school as causing concern?
- What is the latitude for autonomous self-improving schools to dispense with the services of their SIP?

These and other questions are explored further in Chapter 12.

### **The single conversation**

A single conversation with a wise man is better than ten years of study.  
(Chinese Proverb)

The single conversation is the occasion for the SIP and the headteacher to discuss how the school is performing and for the SIP to ensure that key policy priorities are being addressed. The rationale for this is to reduce the multiple accountabilities and need for schools to report to a variety of agencies, a slimming diet widely welcomed by schools.

The agenda for the single conversation is laid down rather than negotiated, with a clear focus on attainment data, variations in pupil performance, monitoring and planning for pupil progress and evidence as to achievement of outcomes identified in *Every Child Matters*. The nature of the school's self-evaluation is also on the agenda, framed primarily in terms of measurement of pupil progress and interpretation of attainment data. Under five key headings the nature of the 'conversation' is made clear.

- How is the school performing?
- What are the key factors?
- What are the priorities and targets for improvement?
- How will the school achieve them?
- How are the school's performance management systems contributing to raising attainment and achievement?

While it is acknowledged that the single conversation will vary from school to school, it 'will', have a common core as detailed in the guidance documents.<sup>10</sup> The single conversation, in common with other aspects of the New Relationship deserves closer interrogation.

- What is the nature of the 'conversation'?
- What latitude does it offer for the headteacher to set or negotiate an agenda tuned to the school's current and future needs?
- What latitude does it offer for the SIP to be responsive to the school's current and future needs?
- What is the essential difference between an accountability conversation and an improvement conversation?
- Where, how often and for how long should that 'single' conversation take place?

## School profile

The government intention for the school is to reflect the breadth and depth of what the school does, but contained in a short accessible document. It is a document designed for parents, as well as for a wider readership, including the DfES, and should contain the following information:

- data on students' attainment and progress, set against benchmarks for schools in similar contexts;
- how the school serves all its students, not just the average student;
- the most recent assessment by Ofsted, set against the school's own self-assessment;
- what the school offers, in terms of the broader curriculum;
- how the head and governors see the priorities for future improvement;
- what the school offers the rest of the system.

This 'short, focused report', it was foreseen,<sup>11</sup> would be pre-populated by the DfES, containing standardised comparative performance data about a school and its students, derived from information held on the National Pupil Database, coupled with information provided by the school on its own view of its priorities and performance. It was described in Ministerial terms<sup>12</sup> as follows:

To supplement the data contained in performance tables, parents also have a right to a broader and deeper understanding of what the school is doing. We think the answer lies in an annual school profile which would replace the annual statutory report to parents and increase flexibility around the statutory elements of the school prospectus. It will be light on bureaucracy, easy to access and powerful in impact. It will place new and challenging information in the public domain.

The school profile was envisaged as another conversation piece – 'We want to see the profile become an important part of educational discussion in the home and the school, as well as in Whitehall'.<sup>13</sup> It stretches the imagination to envisage the nature of the fireside chat that might take place in the home or the nature of the conversation that might transpire in the corridors of power. The tenor of the above Ministerial speech is worth a conversation analysis of its own:

- In what way will the school profile lead to 'a deeper understanding' among parents?
- What 'flexibility' will it allow?
- In what ways will it be 'light in bureaucracy'?
- What is meant by 'powerful in impact'?

## 12 *New relationships for old*

- What will make it appealing enough to provide a conversation piece for parents? And what is likely to be the focus of such conversation?
- What from your own experience might encourage a rich conversation with parents?

### **Data**

Data is the sixth piece of the seven piece jigsaw. It is in some respects the most significant as official documents and pronouncements insistently emphasise that data is the alpha and omega of school life in the new century and in the New Relationship. Data is in the driving seat. It is the centrepiece in the single conversation, the overriding concern of the school improvement partner, the focus of inspection and the litmus test of the school's self-evaluation. The Ministerial speech<sup>14</sup> describes data as the most valuable currency in school improvement.

Data helps teachers, heads of department and the senior leadership team identify underperformance, and do something about it. In this sense it is the most valuable currency in school improvement. When data makes it evident that the same pupils are thriving in History but struggling in Geography, decisions about performance management and professional development suddenly become much clearer.

'Data collected once but used many times' has achieved the status of a mantra. While left open to wide interpretation it appears to imply that the annual sweeping up of performance data and its reproduction in multiple disaggregated forms provides enough riches to last a school until the next sweep.

'Schools are infuriated when different bits of government make their own data collections and waste valuable time and effort at school level', acknowledges the Minister. He makes reference to complaints from heads and governors at having to 'wade through mounds of paper and points to progress made in the last few years in reducing demand by 50 per cent. Life is being made simpler by the development of one simple set of what the Minister describes as 'binding protocols'<sup>15</sup> to ensure 'the full benefits of the national pupil level data that is now available through PLASC...to make a reality of the statement "collect once, use many times."'

It should, the DfES suggests,<sup>16</sup> boast the following elements:

- data that helps teachers develop themselves;
- data that helps school leaders promote high performance;
- data that helps parents support their children's progress;
- data that helps LEAs target resources;
- data that helps the DfES fine-tune its interventions to spread good practice and of critical importance;

- the combination of qualitative as well as quantitative data that is the foundation for any intelligent conversation about public service improvement.

What form this helpful data assumes is not made explicit but refers primarily to the plethora of statistics on student attainment, aggregated and disaggregated in relation to a cluster of variables on home background, prior attainment, gender, and ethnicity. These are, in Ministerial parlance, a core data set which ‘drive the data demands of the education system’.<sup>17</sup> The implicit is made somewhat more explicit however in this Ministerial rhetorical flight – ‘and we will really achieve take off when there is a maximum use of data and benchmarks by all those with an interest in pupils’ progress’. A number of critical questions follow:

- What does the term ‘data’ mean to school staff and what is the emotional resonance of that term?
- What kind of benchmarking does this imply?
- Who is data for? To what extent are they for consumers or critical users?
- What are the potential disadvantages of a single simplified data system?
- In what ways may data be used many times?
- If data are described in terms of ‘binding protocols’ what flexibility is there for schools to be autonomous, to be creative and to speak for themselves?

## **Communications**

Communication, the seventh interlocking piece of the jigsaw, is the necessary precondition of any relationship. The New Relationship promises a ‘streamlined communications strategy’. It includes an on-line ordering service ‘giving schools the freedom and choice to order what that they want, when they want’.<sup>18</sup> Documents and resources that would previously have been sent out, encumbering the headteacher’s desk, and possibly waste bin, are now to be available on-line, easy to find and with detailed summaries of key policies. Schools are kept up-to-date with the latest additions to the on-line catalogue via a regular email notification – providing a direct web link to the latest information available online. Schools are able, therefore, to choose whether to download electronic versions or order paper-based copies of the information they need in the multiples required to be delivered to their school. Choosing to ignore them does not appear to be an option suggested. It is important to consider:

- What key elements would you want to see in a ‘communications strategy’?
- What kind of documents are most, and least, helpful for school leadership?
- To what are teaching and other staff included in a communication strategy? And what is most, and least, helpful to them?

### **The four framing values**

Easily overlooked in the NRWS jigsaw are the key words that frame the seven jig saw pieces. These words are *challenge* and *support, collaboration and networking* and *trust*. The implication is that a new relationship is founded on these and that it would be difficult to realise without these values being in place. But what do they mean?

The key word on which the others depend is trust. This could be interpreted in a number of different ways. For example:

- Teachers trust the goodwill of the government's intentions
- Teachers trust that Ofsted will be fair
- Teachers trust their own management to have their concerns and interests at heart
- The government trusts the professionalism and integrity of teachers
- Ofsted trusts the integrity and honesty of the school's own self-evaluation.

These are ambitious and probably unrealistic expectations because they imply some form of unconditional trust, whereas trust in an essentially politicised context is both conditional and calculative.<sup>19</sup> Trust, says Harvard's Richard Elmore<sup>20</sup> is a fragile commodity, hard to construct and easy to destroy. The very processes by which 'the connective tissue' of trust are created in schools are too easily reversible. At any point, for any reason, individuals may revoke their consent to have their interests encapsulated in others. Trust, says Elmore, is a compound of *respect*, listening to and valuing the views of others; *personal regard*, intimate and sustained personal relationships that undergird professional relationships; *competence*, the capacity to produce desired results in relationships with others; and *personal integrity*, truthfulness and honesty in relationships. He calls these 'discernments' exemplified as the way in which people make sense of one another's behaviour and intentions.

A measure of trust, however conditional, is a prerequisite of *support*, as support implies a relationship in which people experience a genuine intention to help on the part of the other without a hidden agenda, without a sense that this comes with caveats and some form of payback. At an individual level we experience support from friends and colleagues as an expression of genuine concern given unconditionally and without charge. The same principle applies at organisational level, yet in an accountability context it is hard to conceive of support which does not come with conditions and caveats attached.

Implicit in the New Relationship is that support is accompanied by *challenge*. These are uneasy bedfellows because they can only co-exist where the quality of support allows challenge to be heard and accepted. When people do not experience goodwill and genuine support they are very likely to respond badly to challenge. The combination of support and challenge is

implicit in the role of a critical friend – friend first and critic second, but the critical hat is only donned once a mutuality of relationship has been established. Schools' experience of Ofsted has in the past typically been one of challenge – often fruitful and appreciated but not always accompanied by a sense of support, critical but not always friendly.

It is through the fourth of these framing words – *networking* – that support and challenge are most likely to bear fruit. Networking implies a collegial relationship, founded on voluntarism and initiative. It is built on reciprocity and a measure of trust. The ties that bind are conditional not on authority but on mutual gain, give and take, learning and helping others learn.

### **Accountability drives everything**

It is not accidental that the Miliband speech quoted at the beginning of this chapter justified self-evaluation in these terms: 'An accountability framework, which puts a premium on ensuring effective and ongoing self-evaluation in every school combined with more focussed external inspection, linked closely to the improvement cycle of the school.'

Accountability drives everything. 'Without accountability there is no legitimacy; without legitimacy there is no support; without support there are no resources; and without resources there are no services.' In this conception of accountability it is realised through data, attainment related, comparative and benchmarked.<sup>21</sup>

The data upon which we base our accountability mechanisms must reflect our core educational purposes. It must be seen to be objective. And it must allow for clear and consistent comparison of performance between pupils and between institutions.

This, as government sees it, is 'intelligent accountability', a term attributed to John Dunford of the Secondary Heads' Association (SHA), demonstrating that government can at once be intelligent as well as tough and that it can listen to the voice of the profession. But what does intelligent accountability mean? Its origins are in the 2002 Reith lecture given by Baroness O'Neill in which she pleads for an alternative to 'perverse indicators' which erode trust, distort purpose and provide signposts which point people along diversionary paths.<sup>22</sup>

Elmore makes an important distinction between internal and external accountability.<sup>23</sup> The former describes the conditions that precede and shape the responses of schools to pressure originating in policies outside the organisation. Internal accountability is measured by the degree of convergence among what individuals say they are responsible for (responsibility), what people say the organisation is responsible for (expectations), and the internal norms and processes by which people literally account for their work (accountability structures). He concludes that with strong internal

accountability schools are likely to be more responsive to external pressure for performance. Intelligent internal accountability suggests that schools will respond critically to external pressure, confident in the knowledge that they have a rich and unique story to tell, a story which rises above and goes beyond the mean statistics and pushes against prevailing orthodoxies of competitive attainment.

# Notes

## 1 New relationships for old

- 1 Gershon, P. (2004) *Releasing Resources to the Front Line; A Review of Public Sector Efficiency*. Norwich: HMSO.
- 2 Le Grand, J. (2003) The case for the internal market in J. Dixon (ed). *Can Market Forces be Used for Good?* London: Kings Fund.
- 3 Davies, D. and Rudd, P. E. (2001) *Evaluating School Self-evaluation*. Slough: National Foundation for Educational Research.
- 4 Department for Education and Skills (2003) *Every Child Matters*. London: HMSO.
- 5 Ofsted (2004) *The Common Inspection Framework for Inspecting Education and Training*. London: Ofsted.
- 6 See for example Kluger, A. V. and DeNisi, A. (1996) The effects of feedback interventions on performance: a historical review, a meta-analysis, and a preliminary feedback intervention theory. *Psychological Bulletin*. 119 (2): 252–84. and Dweck, C. S. (1986) Motivational processes affecting learning. *American Psychologist*, 41: 1040–8.
- 7 Office for Standards in Education (2004) *The Common Inspection Framework for Inspecting Education and Training*. London: Ofsted.
- 8 Department for Education and Skills (2004) *A New Relationship with Schools: School Improvement Partners' Brief*. London: DfES.
- 9 Ibid.
- 10 DfES/Ofsted (2005) *A New Relationship with Schools: Next steps*. London: DfES.
- 11 Department for Education and Skills (2004b) *A New Relationship with Schools*. London: DfES.
- 12 David Miliband, Personalised Learning, North of England Education Conference, Belfast, 8 January 2004.
- 13 Miliband, Personalised Learning.
- 14 Ibid.
- 15 Ibid.
- 16 Department for Education and Skills (2004b) *A New Relationship with Schools*. London: DfES.
- 17 Miliband, Personalised Learning.
- 18 DfES (2004) *Every Child Matters: Next Steps*. London: HMSO.
- 19 Bottery, M. (2003) The management and mismanagement of trust. *Educational Leadership and Management*, 31(3): 245–61.
- 20 Elmore, R. (2003) *Agency, Reciprocity, and Accountability in Democratic Education*. Cambridge, MA: Consortium for Policy Research in Education. p. 17.
- 21 Miliband, Personalised Learning.

- 22 O'Neill, N. (2002) *A Question of Trust*. Cambridge, MA: Cambridge University Press.
- 23 Elmore, R. (2005) *Agency, Reciprocity, and Accountability in Democratic Education*, Boston, MA: Consortium for Policy Research in Education.

## 2 A view from the schools

- 1 MacBeath, J. and Oduro, G. (2005) *Self Evaluation and Inspection: A New Relationship?* London: National Union of Teachers.
- 2 Ibid.
- 3 MacBeath, J. (2004) *Self Evaluation: A Guide for School Leaders*. National College of School Leadership. London.
- 4 Halsey, K., Judkins, M., Atkinson, M. and Rudd, P. (2005) *New Relationship with Schools: Evaluation of Trial Local Authorities and Schools* (DfES Research Report 689). London: DfES.
- 5 Halsey, K., Judkins, M., Atkinson, M. and Rudd, P. (2005) *New Relationship with Schools: Evaluation of Trial LEAs and Schools*, National Foundation for Educational Research.

## 3 A view from the Bell tower

- 1 The Standing International Conference of Inspectorates.

## 4 Inspection and self-evaluation: a brief history

- 1 Lawton, D. and Gordon, P. (1987) *HMI*. London: Routledge and Kegan Paul.
- 2 Grubb, W. N. (1999) Improvement or control? A US view of English inspection in C. Cullingford (ed). *An Inspector Calls* (70–96). London: Kogan Page Limited.
- 3 Haldeman, W. K. and Hamlett, B. D. (1987) Changes in California State Oversight of Private Postsecondary Education Institutions. A Staff Report to the California Postsecondary Education Commission. Commission Report, 87 (16), 1–17.
- 3 Quoted in Learmonth, J. (2001) *Inspection: What's In It For Schools?* London: Routledge.
- 4 Levacic and Glover (1994) *Local Management of Schools: Analysis and Practice*. Buckingham: Open University Press.
- 5 Rosenthal, L. (2001) *The Cost of Regulation in Education: Do School Inspections Improve School Quality?* Department of Economics, University of Keele, Stoke-on-Trent p. 16.
- 6 Jeffrey, B. and Woods, P. (1996) Feeling deprofessionalised: the social construction of emotions during an Ofsted Inspection. *Cambridge Journal of Education*, 26 (3): 325–44.
- 7 Cullingford, C. and Daniels, S. (1998) *The Effects of Ofsted Inspection On School Performance*. Huddersfield: University of Huddersfield.
- 8 Woodhead, C. (1999) An Inspector responds, *Guardian Education*, 5th October edition p. 5.
- 9 Rosenthal, L. (2001) *The Cost of Regulation In Education: Do School Inspections Improve School Quality?* Department of Economics, University of Keele, Stoke-on-Trent.
- 10 Dannawy, Y. (2001) 'Should we sugar coat the truth then miss?', Unpublished M.Ed paper, Faculty of Education, University of Cambridge.
- 11 MacBeath, J. (1999) *Schools Must Speak for Themselves*. London: Routledge, p. 1.
- 12 Parliamentary Select Committee on the work of Ofsted, 1999.
- 13 Ouston, J. and Davies, J. (1998) OfSTED and afterwards: schools responses to inspection in Earley, P. (ed.) *School Improvement After OfSTED Inspection: School and LEA Responses*. London: Sage Publications.

- 14 GRIDS was a popular approach to self-evaluation in the 1970s. Commenting on GRIDS Fidler (1997 p. 63) says that teachers were ‘better at identifying improvement rather than bringing about improvement’.
- 15 This was the brunt of the Woodhead argument at a meeting with John Bangs of the NUT and the author of *Schools Must Speak for Themselves* in 1995 and in conversation with Scottish HMCI in 1996.
- 16 Davies, D. and Rudd, P. E. (2001) *Evaluating school self-evaluation*. Slough: National Foundation for Educational Research.
- 17 MacBeath, J., Boyd, B. Rand, J. and Bell, S. (1995) *Schools Speak for Themselves*. London: National Union of Teachers.
- 18 Quoted from his contribution to the book *Schools Must Speak for Themselves*, p. 1.
- 19 A conversation between Woodhead and then HMCI Archie McGlynn.
- 20 Alvik, T (1996) *Self Evaluation: What, Why, How, by Whom, for Whom*. Collaborative Project Self-evaluation in School Development, Dundee CIDREE.
- 21 Leeuw, F. (2001) Reciprocity and the Evaluation of Educational Quality; Assumptions and Reality Checks. Keynote paper for the European Union Congress, Karlstat, Sweden, April 2–4.
- 22 Office for Standards in Education, (1999) Revised Framework for the Inspection of Schools, London, Ofsted, p. 14.
- 23 Office for Standards in Education, (1999) Revised Framework for the Inspection of Schools, London, Ofsted, p. 110.
- 24 European Consultative Body of Inspectorates (2003) *The Effective School Self-Evaluation project*, Standing International Conference of Central and General Inspectorates of Europe, Brussels European Commission.
- 25 Black, P. and Wiliam, D. (1998) *Inside the Black Box: Raising Standards Through Classroom Assessment*. London: School of Education, King’s College.
- 26 British Educational Research Association, Scottish Educational Research Association, European Congress on Educational Research, American Educational Research Association, International Congress on School Effectiveness and Improvement.
- 27 National Association of Headteachers (NAHT) (2005) *Making Self-assessment Really Work*. London.
- 28 1999 Select Committee on Education and Employment, Examination of Witnesses (Questions 424–439).
- 29 MacBeath, J. (forthcoming 2007) Stories of compliance and subversion in an oppressive policy climate. *Journal of Educational Management, Administration and Leadership*, 35(1).
- 30 Interview conducted in NCSL, June 2005.
- 31 Senge, P. (2000) *Schools that learn*. London: Nicholas Brealey, p. 86–7a.

## 5 Lies, damned lies and statistics

- 1 Sion Simon M. P. quoted in Osborne, P. (2005) *The Rise of Political Lying*. London: Free Press p. 59.
- 2 Levitt, S. D. and Dubner, S. J. (2004) *Freakonomics*. London: Allen Lane.
- 3 Eric Alterman When Presidents Lie *The Nation*, October 25, 2004.
- 4 Osborne, P. (2005) *The Rise of Political Lying*. London: Free Press.
- 5 *Ibid.*, p. 66.
- 6 Haney, W. (2000) The myth of the Texas miracle in education. *Education Policy Analysis Archives*, 8 (41).
- 7 Tymms, P. (1999) *Analysing Your School’s Data and Setting Targets For Primary Schools OR Baseline Assessment and Monitoring in Primary Schools*. Durham: University of Durham.

- 8 Organisation for Economic Cooperation and Development (2004) Programme for International Student Assessment (PISA), OECD, Paris. p. 208.
- 9 Berliner, D. C. and Biddle, B. J. (1995) *The Manufactured Crisis: Myths, Fraud and Attack on America's Public Schools*. Reading, MA: Perseus Books.
- 10 Wolf, A. (2002) *Does Education Matter?: Myths About Education and Economic Growth*. London: Penguin. p. 254.
- 11 Andy Hargreaves quoted in keynote lecture to the International Congress of School Effectiveness and School Improvement, Rotterdam, 5th January 2004.
- 12 quoted in MacBeath, J. (2006) Stories of compliance and subversion in a prescriptive policy context.
- 13 Giroux, H. (1992) *Border Crossings*. London: Routledge, p. 120.
- 14 Bramall, S. and White, J. (eds) (2000) *Why Learn Maths?* London: Institute of Education.
- 15 Interview conducted in the NCSL, June 2005. These ideas are developed in Starrat, R. J. (2005) Cultivating the moral character. *School Leadership and Management*, 25 (4).
- 16 Postman, N. and Weingartner, G. (1971) *Teaching as a Subversive Activity*. Harmondsworth: Penguin Books.
- 17 Giroux, H. (1992) *Border Crossings*. London: Routledge, p. 120.

## 6 Self-evaluation, review, audit, self-assessment and self-inspection

- 1 GRIDS was a popular approach to self-evaluation in the 1970s. Commenting on GRIDS, Fidler (1997 p. 63) says that teachers were 'better at identifying improvement rather than bringing about improvement'.
- 2 Discussions between members of the NUT, the author and Chris Woodhead, continuously ran up against his fierce opposition to self-evaluation.
- 3 Davies, D. and Rudd, P. E. (2001) *Evaluating School Self-Evaluation*. Slough: National Foundation for Educational Research.
- 4 Entwistle, N. (1987) *Understanding Classroom Learning*. London: Hodder and Stoughton.
- 5 Eisner, E. (1993) *What Artistically Crafted Research Can Help Us Understand about Schools*. San Francisco: Presidential address to the American Educational Research Association, April.
- 6 Source The Xerox Corporation.
- 7 Quoted in Senge, P., Scharmer, C. O., Jaworski, J. and Flowers B. S. (2005) *Presence: Exploring Profound Change in People, Organizations and Society*. London: Nicholas Brealey.
- 8 Limerick, D., Passfield, R. and Cunnington, B. (1994) Transformational change: towards an action learning organization. *The Learning Organization*, 1(2): 29–40.
- 9 See, for example, MacBeath, J. and Oduro, G. (2005) *Inspection and Self-evaluation: A New Relationship?* London: National Union of Teachers.
- 10 Sergiovanni, T. (2005) *Strengthening the Heartbeat: Leading and Learning Together in Schools*. San Francisco: Jossey-Bass
- 11 Mitchell, C. and Sackney, L. (2000) *Profound Improvement*. Lisse: Swets and Zeitlinger.
- 12 Senge, P., Scharmer, C. O., Jaworski, J. and Flowers B. S. (2005) *Presence: Exploring Profound Change in People, Organizations and Society*. London: Nicholas Brealey.
- 13 Eraut, M. (1992) *Developing the Profession: Training, Quality and Accountability*. Brighton: University of Sussex.

## 7 Hearing voices

- 1 Covey, S. (2000) *The Seven Habits of Highly Effective People*. New York, Simon and Schuster.
- 2 Office for Standards in Education (2005) *Pupils' Satisfaction With Their Schools*. London, Ofsted.
- 3 Levin, B. (2000) Putting students at the centre in education reform. *Journal of Educational Change* 1: 155–172.
- 4 Nias, J. Southworth, G. and Yeomans, R. (1989) *Staff Relationships in the Primary School: A Study of Organisational Cultures*. London: Cassell.
- 5 Willis P., (1977) *Learning to Labour: How Working-Class Kids Get Working-Class Jobs*. London: Gower.
- 6 Mead, G. H. (1934) *Mind, Self and Society*. Chicago, IL: Chicago University Press.
- 7 Berne, E. (1964) *Games People Play: The Basic Handbook of Transactional Analysis*. New York: Random House.
- 8 Alexander, R. (2004) *Towards Dialogic Teaching: Rethinking Classroom Talk*. Cambridge: University of Cambridge.
- 9 The dialogic school and implications for leadership are the focus of a dialogue among head teachers together with Cambridge Professors Alexander and MacBeath (Talking to Learn, NCSL 2004).
- 10 Evans, P. and Genady, M. (1999) A diversity-based perspective for strategic human resource management. *Research in Personnel and Human Resource Management*, Supplement 4. p. 368.
- 11 MacBeath, J. (2005) La qualita non e un punto da vista? Keynote presentation and discussion, Forum Europeu d'Adminstradores de l'Educacio de Catalunya, Barcelona, November 10th.
- 12 Fielding, M. (2001) Beyond the rhetoric of student voice: new departures or new constraints in the transformation of 21st century schooling? in *Forum*, 43(2): 100–110.
- 13 Coleman, P. (1998) *Parent, Student and Teacher Collaboration; the Power of Three*. London: Paul Chapman.
- 14 Frost, D. (2005) Resisting the juggernaut: building capacity through teacher leadership in spite of it all. *Leading and managing*, 10(2): 83.
- 15 Argyris, C. (1993) *Knowledge for action: A Guide to Overcoming Barriers to organizational change*. San Francisco, CA: Jossey-Bass.
- 16 Mendel, M. (2003) The space that speaks in Castelli, S. Mendel, M. and Ravn, B. (eds) *School, Family and Community Partnerships in a World of Differences and Changes*. Gdansk: Wydawnictwo Uniwersytetu Gdanskiego.
- 17 Lawrence Lightfoot, S. (2004) *The Essential Conversation*. New York: Ballantine Books.
- 18 Ibid.
- 19 Harris, J. R. (1998) *The Nurture Assumption*. London: Bloomsbury.
- 20 The GTC calls for a 'new relationship' between schools and parents, Mon, 29 Nov 2004. [www.gtce.org.uk/Newsfeatures/press99/104282/?view = TextOnly](http://www.gtce.org.uk/Newsfeatures/press99/104282/?view = TextOnly)
- 21 This is anecdotal evidence based on workshops with school staff, mainly head-teachers, in which they are given five alternative possibilities to choose from. More than half generally choose pupils, with teachers a close second.
- 22 SooHoo, S. (1993) Students as partners in research and restructuring schools. *The Educational Forum*, 57: 386–92.
- 23 see for example, a Special Issue of *Forum* (2001) Vol. 43, No. 2.
- 24 MacBeath, J., Demetriou, H., Rudduck, J. and Myers, K. (2003) *Consulting Pupils: A toolkit for teachers*. Cambridge, MA: Pearson.
- 25 Rudduck, J. and Flutter, J. (2004). *How to Improve Your School: Giving pupils a voice*. London. Continuum.

## 8 Learning in and out of school

- 1 Brookover W., Beady, C., Flood, P., Schweitzer, J. and Wisenbaker, J. (1979) *School Social Systems and Student Achievement: Schools Can Make a Difference*. New York: Praeger.
- Mortimore, P., Sammons, P., Stoll, L., Lewis, D. and Ecob, R. (1988) *School Matters: The Junior Years*. Wells: Open Books.
- 2 Jencks, C. S., Smith, M., Ackland, H., Bane, M. J., Cohen, D., Gintis, H., Heyns, B. and Micholson, S. (1972) *Inequality: A Reassessment of the Effect of Family and Schooling in America*. New York: Basic Books.
- 3 Coleman, J. S., Campbell, E. Q., Hobson, C. J., McPartland, J., Mood, A. M., Wienfeld, F. D., and York, R. L. (1966) *Equality of Educational Opportunity*. Washington DC: Office of Education.
- 4 See for example, the meta study by Sammons, P., Hillman, J. and Mortimore, P. (1994) *Key Characteristics of Effective Schools: A Review of school effectiveness research*. London: Office of Standards in Education.
- 5 National Statistics 2005.
- 6 Putnam, R. (1999) *Bowling Alone: The Collapse and Revival of American Community*. New York: Touchstone.
- 7 Wylie, T. (2004) *Address to the Leadership for Learning Cambridge Network*, Cambridge.
- 8 Bernstein, B. (1970) Education cannot compensate for society. *New Society*, 387: 344–7.
- 9 see for example Labaree, D. F. (1997) *How to Succeed in School Without Really Learning: The Credentials Race in American Education*. New Haven: Yale University Press.
- 10 Bentley, T. (1998) *Learning beyond the classroom*, London: Routledge.
- 11 A National Conversation about Personalised Learning at <http://www.standards.dfes.gov.uk/personalisedlearning/>
- 12 Ibid.
- 13 Weiss, L. and Fine, M. (2000) *Construction Sites: Excavating Race, Class and Gender among Urban Youth*. New York: Teachers' College Press.
- 14 Ward, J. V. (2000) Raising resisters: the role of truth telling in the psychological development of Afro-American girls in Weis, L. and Fine, M. (eds). *Construction Sites: Excavating Race, Class and Gender among Urban Youth*. New York: Teachers' College Press.
- 15 Bem in *Construction Sites: Excavating Race, Class and Gender among Urban Youth*. New York: Teachers' College Press, p. 266.
- 16 MacBeath, J. and Sugimine, H. (2002) *Self-evaluation in the Global Classroom*. London: Routledge.
- 17 Ibid., p. 38.
- 18 In Nishimura, M. Interview in Malmö, January 2003, p. 30.
- 19 Talk given in Cambridge University, June 2003.
- 20 Sophie (Experience Report) in Nishimura p. 59.
- 21 Sutherland, G. (2003) Stories of change? An exploratory study of the impact of pupils' research on teachers and teaching, M.Ed thesis, University of Cambridge.
- 22 Winkley, D. (2002) *The Handsworth Revolution*. London: Giles de la Mare.
- 23 Mitra, S. and Rana, V. (2001) Children and the internet: experiments with minimally invasive education in India. *The British Journal of Educational Technology*, 32(2): 221–32.
- 24 MacBeath, J., Kirwan, T., Myers, K., Smith, I., McCall, J., Mackay, E., Sharp, B., Bahbra, S., Pocklington, K., and Weindling, D. (2000) *The Impact of study*

support. London: DfES. p. 48. The summary can be found at: [www.standards.dfes.gov.uk/studysupport/816987/817959/impactresearchword](http://www.standards.dfes.gov.uk/studysupport/816987/817959/impactresearchword)

25 [www.qiss.org.uk](http://www.qiss.org.uk)

## 9 PLASCS, PATS, electronic PANDAS and other beastly inventions

- 1 PLASC can be accessed at [www.teachernet.gov.uk/s2s/](http://www.teachernet.gov.uk/s2s/)
- 2 For example, Goldstein, H and Thomas, S. (1996) Using examination results as indicators of school and college performance. *Journal of the Royal Statistical Society A*, 159(1): 149–163.
- 3 Levacic, R. and Woods, P. A. (2002) Raising school performance in the league tables (Part 1): disentangling the effects of social disadvantage. *British Educational Research Journal*, 28(2): 207–26.
- 4 Organisation for Economic Cooperation and Development (2004) Programme for International Student Assessment (PISA), OECD, Paris.
- 5 PISA p. 208.
- 6 Richard Harrison made his comments at an NCSL Leading Practice seminar, ‘Tackling Within-School Variation’ on 9 July 2004. More detail on this seminar is available at <http://www.ncsl.org.uk/mediastore/image2/randd-ln-wsv.pdf>
- 7 South Dartmoor Community College.

## 10 Every Child Matters?

- 1 [http://newportal.ncsl.org.uk/the\\_college/press\\_office/college-pr-05072004.cfm?jHighlights=variation](http://newportal.ncsl.org.uk/the_college/press_office/college-pr-05072004.cfm?jHighlights=variation)
- 2 Department for Education and Skills (2004) *Every Child Matters; Change for Children*, Outcomes framework. London: DfES.
- 3 Dryfoos, J. (1994) *Full-Service Schools. A Revolution in Health and Social Services for Children, Youth and Families*. San Francisco, CA: Jossey-Bass.
- 4 Macmichael, P. (1984) *Interprofessional Perceptions of Social Workers and Teachers*. Edinburgh: University of Edinburgh.
- 5 see Outcomes framework above
- 6 Bruner, J. S. (1960) *The Process of Education*. Cambridge, MA: Harvard University Press.
- 7 Smith, M. K. (2005) ‘Background to the Green Paper for Youth 2005’, *The Encyclopaedia of Informal Education*, [www.infed.org/youth/work/green\\_paper.htm](http://www.infed.org/youth/work/green_paper.htm). First published; March.
- 8 See for example MacBeath, J. and Galton, M. with Steward, S. and MacBeath, A. (2005) *The Costs of Inclusion*. London: National Union of Teachers.

## 11 The SEF and how to use it

- 1 Department for Education and Skills (2004b) *A New Relationship with Schools*. London: DfES.
- 2 Ibid.
- 3 Argyris, C. (1993) *Knowledge for Action: A Guide to Overcoming Barriers to Organizational Change*. San Francisco, CA: Jossey-Bass.

- 4 Ibid.
- 5 Morgan, G. (1997) *Images of Organizations*. Thousand Oaks, CA: Sage, p. 98.

## 12 Who needs a School Improvement Partner?: critical friend or Trojan collaborator

- 1 Conversations with and feedback from headteachers at conferences and workshops.
- 2 Baker, P., Curtis, D. and Berenson, W. (1991) *Collaborative Opportunities to Build Better Schools*. Bloomington, IL: Illinois Association for Supervision and Curriculum Development.
- 3 For example, MacBeath, J. and Mortimore, P. (2001) *Improving School Effectiveness*. Buckingham: Open University Press.
- 4 Department for Education and Skills (2004) *Strategies for Improving Schools: A Handbook for School Improvement Partners*. London: DfES.
- 5 David Miliband, 8 January 2004, North of England Education Conference, Belfast.
- 6 Department for Education and Skills (2004) *A New Relationship with Schools: School Improvement Partners' Brief*. London: DfES.
- 7 Ibid.
- 8 Formal Intervention Powers (SSFA 1998 as amended).
- 9 The quote comes from Henry V. who, prior to the battle of Agincourt is overwhelmed by doubt and needs a trusted friend to confide in. In their presentations to schools and corporations Olivier Mythodrama uses this scene from the play to highlight the dilemmas of leadership and issues of trust.
- 10 Leadership for Learning: Carpe Vitam Conference held in Cambridge, May 2002.
- 11 National Association of Education Inspectors, Adviser and Consultants, (2005) *National Standards for Educational Improvement Professionals*, NAEIAC, p. 10.
- 12 Sue Swaffield (2002) InForm, October 2003, Number 3. See also Swaffield, S. and MacBeath, J. (2005) Self-evaluation and the role of the critical friend. *Cambridge Journal of Education*, 35(2): 239–252.
- 13 National Association of Educational Inspectors, Advisors and consultants (2005). *National Standards for Educational Improvement Professionals*, London, NAEIAC.

## 14 The tools of self-evaluation

- 1 Fuller, B. (1976) *Synergetics: The geometry of thinking*. New York: Macmillan.
- 2 Jones, B. F., Valdez, G., Nowakowski, J., and Rasmussen, C. (1995). *Plugging in: Choosing and Using Educational Technology*. Washington, DC: Council for Educational Development and Research, and North Central Regional Educational Laboratory. p. 8. Available online: <http://www.ncrel.org/sdrs/edtalk/toc.htm>
- 3 MacBeath, J. and Sugimine, H. with Sutherland, G. and Nishimura, M. (2002) *Self-Evaluation in the Global Classroom*. London: Routledge Falmer.
- 4 Sammons, P., Thomas, S. and Mortimore, P. (1997b) *Forging Links: Effective Schools and Effective Departments*. London: Paul Chapman.
- 5 Lawrence Lightfoot, S. and Hoffman Davis, J. (1997) *The Art and Science of Portraiture*. Hoboken, NJ: Jossey Bass.
- 6 Ibid.
- 7 Ibid., p. 3.
- 8 Eisner, E. (1991) *The Enlightened Eye*. New York: Macmillan, p. 3.

- 9 From a four-country study of leadership: MacBeath, J. (ed.) *Effective School Leaders*. London: Paul Chapman.
- 10 Schratz, M. and Steiner-Löffler, U. (1998) Pupils using photographs in school self-evaluation in Prosser, J. (ed.) *Image-based Research – A Sourcebook for Qualitative Researchers*. London: Falmer, 235–251.
- 11 Read, I. (2005) *Making Inclusion Work*. Sheffield: Birley Spa Primary Community School.
- 12 See for example Bastiani, J. and Wolfendale, S. 1987, *Parents and School Effectiveness*. Routledge: London. MacBeath J., Mearns, D. and Smith, M. (1996) *Home from School*. Glasgow: Jordanhill College.

## 15 The leadership equation

- 1 National College of School Leadership (2005) *Making a Difference: Successful Leadership in Challenging Circumstances*. Nottingham: NCSL.
- 2 Fink, D. (1999) The attrition of change. *International Journal of School Effectiveness and School Improvement*, 10(3), 359–70.
- 3 Gewirtz, S. (1998) Can all Schools be Successful? an exploration of the determinants of school ‘success’. *Oxford Review of Education*, 24(4): 439–57, p. 439.
- 4 Berliner, D. (2001) The John Dewey Lecture, Paper delivered at the American Educational Research Association, Seattle, April. and Bevan, J. (2002) *The Rise and Fall of Marks and Spencer*. London: Profile Books.
- 5 Limerick, D., Passfield, R. and Cunnington, B. (2004) *Towards an Action Learning Organization*. St. Leonard’s New South Wales: The Learning Organization.
- 6 Rogers, E. (1995) *A Theory of Diffusion of Innovations*. New York: Free Press.
- 7 MacBeath, J., Oduro, G. and Waterhouse, J. (2004) *Distributed Leadership in Schools*. Nottingham: National College of School Leadership.
- 8 Leeuw, F. (2001) Reciprocity and the Evaluation of Educational Quality; Assumptions and Reality Checks. Keynote paper for the European Union Congress, Karlstat, Sweden, April 2–4.
- 9 Rogers, E. (1995) *A Theory of Diffusion of Innovations*. New York: Free Press. P. 6.
- 10 Carnoy, M., Elmore, R. and Santee S. (2003) *The New Accountability – High-Schools and High Stakes Testing*. Willmor, Vermont: Teachers College Press.
- 11 Evans, P. and Genady, M. (1999) A diversity-based perspective for strategic human resource management. *Research in Personnel and Human Resource Management*, Supplement 4, p. 368.
- 12 Gladwell, M. (2000) *The Tipping Point*. London: Abacus.
- 13 In the NUT research published as *Schools Must Speak for Themselves* governors were keen to be involved and embraced with enthusiasm the opportunity to define their own self-evaluation criteria.

## 16 What can we learn from other countries?

- 1 North Central Educational Laboratory (NCREL) <http://www.ncrel.org/info/pd/>
- 2 Smith, W. (1998) *Schools Speaking to Stakeholders*. Montreal: McGill University.
- 3 Portin, B., Beck, L., Knapp, M. and Murphy, J. (2003) *Self Reflective Renewal: Local Lessons for a National Initiative*, New York: Praeger.
- 4 *Ibid.*, p. 22.
- 5 [www.education.tas.gov.au/ooe/ppp/families/pack12.htm](http://www.education.tas.gov.au/ooe/ppp/families/pack12.htm)

- 6 MacBeath, J., Jakobsen, L., Meuret, D. and Schratz, M. (2000) *Self-Evaluation in European Schools: A Story of Change*. London: Routledge.
- 7 Francesca Brotto works for the Italian Ministry and was so inspired by the Italian translations she conceived of the Bridges idea.
- 8 MacBeath, J. and Clark, B. (2005) *The Impact of External School Review in Hong Kong*. Cambridge, MA: Cambridge Education.
- 9 <http://sici.org.uk/ESSE>